

Interoperability Standards Advisory: A Historical and Structural Overview

Prepared for the HITAC Interoperability Standards Workgroup

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Agenda

- 1. ISA Overview
- 2. Historical Background/Evolution
- 3. Structure
 - A. Sections
 - B. Sample Interoperability Need
 - C. Specialty Care and Settings Tags
 - D. ISA Publications: 2015-2022
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What is the ISA?

• The Interoperability Standards Advisory (ISA) process represents the model by which the Office of the National Coordinator for Health Information Technology (ONC) will coordinate the identification, assessment, and public awareness of interoperability standards and implementation specifications that can be used by the healthcare industry to address specific interoperability needs including, but not limited to, interoperability for clinical, public health, research and administrative purposes.

-About the ISA, available at https://www.healthit.gov/isa/about-isa





How is the ISA used and By Whom?

- Stakeholders
- Developers of health IT
- Implementers and users of health IT products
- The ISA and its associated informative characteristics are available to help more fully inform policy and implementation efforts, including limitations, dependencies or preconditions for use.





Ongoing Process

- The web-based version of the <u>ISA</u> is updated frequently throughout the year, as new comments from stakeholders come in or as changes occur, with a call for review and comments in late Summer timeframe.
- ONC publishes a static "Reference Edition" of the ISA (PDF) each December or January that can be referenced in contracts, agreements, or as otherwise needed with certainty that the information will not change.

The Office of the National Coordinator for Health Information Technology

Interoperability Standards Advisory (ISA) Structural Evolution: Key Milestones 2015-2022

10-Year Vision

Shared Nationwide Interoperability Roadmap

2016 Reference Edition

2017 ISA (Reference Edition, Web)

2019 ISA (Reference Edition, Web)

Interoperability

Appendices:(i)

State and Local

Public Health

and Settings

and Opioids)

New RSS feed

to track ISA

February 2019

Tags (Pediatrics

Streamlined

Need titles

Models &

Agencies

Web Version

Profiles; (ii)

New

2020 ISA (Reference Edition, Web)

2021 ISA (Reference Edition, Web)

2022 ISA (Reference Edition, Web)

10-year Vision Paper to Achieve an Interoperable Health IT Infrastructure Publishes (June 2014)

Paper

- Shared **Nationwide** Interoperability Roadmap Version 1.0 Draft publishes, incorporates release of first draft ISA for public comment (Jan. 2015)
- Shared Nationwide Interoperability Roadmap Version 1.0 Final publishes (Oct. 2015)
- Second Draft ISA for public comment publishes (Aug. 2015)

- December 2015
- 80 pp, PDF
- Significant structural changes public comment, **Health IT Standards Need** Committee recs •
- Interoperability Needs, 6 new 'Best Available' standards and implementation specification characteristics, Appendices Added •
- · Limitations. Dependencies, Preconditions & Value Sets subsections added to Interop Needs
- Transport standards dropped per Health IT Standards Committee

- January 2017
- 92 pp, PDF
- Interactive Web **Version Introduced** • per two rounds of • Search Functionality by Interoperability
 - ISA's focus expanded to more explicitly include public health and health research interoperability

Web Version

- Administrative Section added late 2017
 - updates in realtime (2018)

- Four SDOH Interoperability Needs Added
- Care Coordination for Referrals, Clinical Notes, Cognitive Status Subsections Added

Web Version

• Specialty Care • Redesigned for ease of use

- New subsection on COVID-19
- New Specialty Care and Settings tag for COVID-19 Interoperability Needs
- Four Public Health Emergency Response Interoperability Needs
- CMS

Web Version

 Linkage to USCDI. SVAP

- 8th Annual Reference Edition releases January 2022
- 269 pp, PDF
- · Additions to COVID-19. SDOH, SO/GI

Web Version

 Specialty Care/Settings page Added for SDOH-related Interoperability Needs (March 2021)





High Level ISA Structure

- Section I: Vocabulary/Code Set/Terminology
- Section II: Content/Structure
- Section III: Services/Exchange
- Section IV: Administrative
- Informational Appendices (4)
- Specialty Care and Settings Tags (4)

Sample ISA Interoperability Need



Allows a Prescriber to Send a New Prescription to a Pharmacy

the transaction in order to facilitate successful exchange, including the ability to





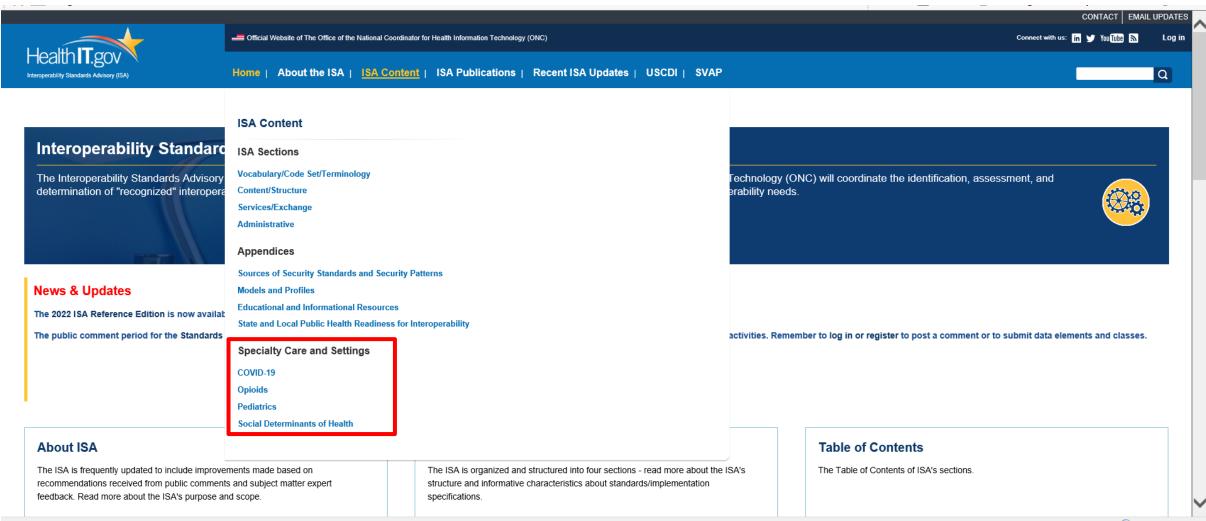


Туре	Specification		Implementation Maturity	Adoption Level	Federally required		Test Tool Availability
	NCPDP SCRIPT Standard, Implement ation Guide, Version 2017071 &	Final	Pilot	•0000	No	\$	Yes
	NCPDP SCRIPT Standard, Implement ation Guide, Version 10.6៤	Final	Production	••••	Yes ថា	\$	Yes ថា
Emerging Standard	HL7 FHIR Medication Request®	In Development	Pilot	Feedback Requested	No	Free	No

Limitations, Dependencies, and Preconditions for Consideration	Applicable Security Patterns for Consideration		
 The following transactions need to be implemented for interoperability purposes: 	 Secure Message Router – securely route and enforce policy on inbound and outbound messages without interruption of delivery. 		
 SCRIPT 10.6 & SCRIPT 2017071 - NewRx: This transaction is a new prescription sent from the 	Authentication Enforcer – centralized authentication processes.		
prescriber to the pharmacy electronically so that it can be dispensed to a patient	Authorization Enforcer – specifies access control policies.		
 SCRIPT 2017071 - NewRxRequest: This transaction is a request from a pharmacy to a 	 Credential Tokenizer – encapsulate credentials as a security token for reuse (e.g., – SAML, Kerberos). 		
prescriber for a new prescription for a patient NewRxResponseDenied: This transaction is a denied response	 Assertion Builder – define processing logic for identity, authorization and attribute statements. 		
to a previously sent NewRxRequest (If approved, a NewRx would be sent) • A NewRxResponseDenied response may occur when the	User Role – identifies the role asserted by the individual initiating the transaction.		
NewRxRequest cannot be processed or if information is unavailable	Purpose of Use - Identifies the purpose for the transaction.		
Both the prescriber and the pharmacy must have their systems configured for			



ISA Content – Specialty Care and Settings Tags



https://www.healthit.gov/isa/isa-document-table-contents

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Specialty Care and Settings Tag: Social Determinants of Health



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Social Determinants of Health

This page identifies interoperability needs, associated technical standards, and implementation specifications across the ISA that support certain priority functions in health IT, including EHRs, for the Social Determinants of Health (SDOH). It is not exhaustive and focuses on specific SDOH domains (example: food, housing) and on referrals/coordination; many other standards may be relevant but are not tagged specifically under SDOH at this time. ONC welcomes feedback to add to this list, and improve these standards and specifications.

Vocabulary/Code Set/Terminology

Allergies and Intolerances

Representing Patient Allergies and Intolerances; Environmental Substances

Functional Status/Disability

Representing Patient Functional Status and/or Disability

Preferred Language

Representing Patient Preferred Language (Presently)

Race and Ethnicity

Representing Patient Race and Ethnicity

Sex at Birth, Sexual Orientation and Gender Identity

Representing Patient Gender Identity

Representing Patient Sex (At Birth)

Representing Patient-Identified Sexual Orientation

Social, Psychological, and Behavioral Data

Representing Alcohol Use

Representing Depression

Representing Drug Use

Representing Exposure to Violence (Intimate Partner Violence)

Representing Financial Resource Strain

Representing Food Insecurity

Representing Housing Insecurity

Representing Level of Education

Representing Physical Activity

Representing Social Connection and Isolation

ISA Publications: 2015-2022







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ISA Publications



Current and Historical ISA Publications include:

- 2022 ISA Reference Edition (published January 2022)
- 2021 ISA Reference Edition (published January 2021)
- 2020 ISA Reference Edition (published December 2019)
- 2019 ISA Reference Edition (published January 2019)
- 2018 ISA Reference Edition (published December 2017)
- 2017 ISA Reference Edition (published December 2016)
- 2016 ISA Final Version (published December 2015)
 - 2016 ISA Public Comments
- 2016 ISA Draft (published August 2015)
 - 2016 Draft ISA Public Comments
- 2015 ISA (published January 2015)
 - 2015 ISA Public Comments



Health information technology (Health IT) makes it possible for health care providers to better manage patient care through secure use and sharing of health information. Health IT includes the use of electronic health records (EHRs) instead of paper medical records to maintain people's health information.

Recent ISA Updates





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Recent ISA Updates



Recent ISA Updates

Check here regularly for the latest updates to the ISA. Please note, that revisions to individual interoperability needs (such as adding/removing of standards or changes to their informative characteristics) are not included here. Revision history is available on each ISA page. In addition, registered users may subscribe to change notifications to be alerted by e-mail of all revisions to individual interoperability needs or for ISA-wide changes. Once logged in, look for the blue "change notification" button at the bottom of the interoperability need page, or at the bottom of this page to be notified of any changes across the ISA.

An RSS Feed that captures all changes made to the ISA is also available for visibility into more granular ISA updates.

- March 29, 2022 -- Added Representing Patient Address and Representing Patient Names Interoperability Needs within Section I: Demographics.
- March 18, 2022 -- Added Section I: Biologics and Representing Biological Products Interoperability Need.
- March 15, 2022 Added Adverse Event Reporting Interoperability Need within Section II: Public Health Reporting.
- Fall 2021 Numerous changes made to address public comments received and prepare the 2022 Reference Edition, including but not limited to:
 - Changed Vocabulary/Code Set/Terminology: Laboratory, adding two Interoperability Needs and removing one:
 - Added Representing Laboratory Test Ordered
 - Added Representing Laboratory Test Performed
 - Removed Representing Laboratory Tests
 - Changed Vocabulary/Code Set/Terminology: Tobacco Use Interoperability Need from:



Timeline & Comment Submission





- 2022 Reference Edition published in early January
- Comments now open (ISA web-based version)
 - Annual Review and Comment period opens summer 2022 (2023 ISA Reference Edition)
- To comment, create an account at <u>www.healthit.gov/ISA</u>
 - Click "log in" at top right of screen.
 - Click top-center tab to "create new account," fill in account details, and complete captcha to submit request.
 - ISA team will approve your user account and you'll get an email confirming your account.
 - Navigate to comment on individual ISA pages or submit consolidated comments at the ISA introduction page.
- Can be added to an RSS feed for real-time updates



Questions?

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Find the ISA at: https://www.healthit.gov/isa/

